



6-4-02

3623

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

In re application of:	Phil Delurgio, Hau Lee, Michael Neal, Suzanne Valentine, Krishna Venkatraman
Serial No.:	09/849168
Filed:	5/4/2001
Docket:	DT.0101
For:	APPARATUS FOR MERCHANDISE PRICE OPTIMIZATION

5

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that the Examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.

10 This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 C.F.R. § 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b) or is available as a reference under 35 U.S.C. § 102 et seq. Applicant reserves the right to swear behind or otherwise disprove the allege "prior" nature of any art cited should the facts support and the situation warrant such an action.

15

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

20 A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is intended to be attached to the markings.

RECEIVED

JUN 07 2002

GROUP 3600

Respectfully submitted,

25  
James W. Huffman  
Huffman Law Group, Inc.  
30 Registration No. 35,549  
1832 N. Cascade Ave.  
Colorado Springs, CO 80907  
719.475.7103  
719.623.0141 fax  
35 jim@huffmanlaw.net

"EXPRESS MAIL" mailing label number 60000110187US.  
Date of Deposit 5/31/02. I hereby certify that this  
paper is being deposited with the U.S. Postal Service Express Mail  
Post Office to Addressee Service under 37 C.F.R. §1.10 on the  
date shown above and is addressed to the U.S. Commissioner of  
Patents and Trademarks, Washington, D.C. 20231.  
By: Brendan Bristo

Date: 5-31-02



4/13/02  
P#  
#7

<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<p><i>Complete if Known</i></p>	
				Application Number	09/849168
				Filing Date	5/4/2001
				First Named Inventor	Phil Delurgio
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	DT.0101

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup>			
	AA	6,308,162		Quimet et al	10/23/2001	

## FOREIGN PATENT DOCUMENTS

## OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago, volume 55/12-A of Dissertation Abstracts International, page 3922 (ABSTRACT ONLY).	
	CB	Busch: "Cost modeling as a technical management tool", Reasearch-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pages 50-56.	
	CC	"Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"	
Examiner Signature		Date Considered	RECEIVED JUN 07 2002 GROUP 3600

**\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.